

Compliance Review Findings Descriptions

In Substantial Compliance

- Applies when no issues of non-compliance or violations of Title XI, ASC Policy Statements or AQB Criteria are identified.

Not in Substantial Compliance

- Applies when there are one or more issues of non-compliance or violations of Title XI, ASC Policy Statements and/or AQB Criteria but the concerns do not rise to the level of “not in compliance.”

Not in Compliance

- Applies when the number, seriousness, and/or repetitiveness of the Title XI, ASC Policy Statements and/or AQB Criteria violations warrant this finding.

Area of Concern

- Applies when a specific area is in compliance but could be improved. Areas of Concern may appear within any Report, regardless of the Finding.