

PURPOSE AND NATURE OF SANCTIONS

The purpose of appraiser discipline is to protect the public from appraisers who have not or will not ethically and competently perform their duties to their clients. The ultimate disposition of appraiser discipline should be public in cases of revocation, suspension and voluntary surrender. Only in cases of minor misconduct should private discipline be imposed.

SANCTIONS

- **Warnings, Corrective Actions, and Disciplinary Actions**

Least severe form of sanction used to caution an appraiser against continuing a particular action or behavior. May identify minor technical violations of State or Federal law or USPAP not considered serious enough to warrant a more severe action. It does not limit the appraiser's right to work.

- **Additional Education**

Requirement that an appraiser obtain additional formal instruction or training in a specified area.

- **Fines**

Some States may allow fines or assessment of costs to be imposed as a form of sanction. Generally the fines/costs are paid to the State. Restitution is typically provided to the harmed party or parties.

- Fine: A sum of money required to be paid as a penalty for a violation. Small, Moderate and Large
- Costs of enforcement activities

- **Probation**

A period of time during which the appraiser and/or the appraiser's work may be more closely scrutinized.

- short probation: up to six months
- medium probation: six months up to one year
- significant probation: more than one year

- **Downgrade**

Reduction in the permitted scope of practice of an appraiser through reclassification of the appraisal credential.

- **Suspension**

The temporary removal of an appraiser's credential for a specified period of time.

- Short suspension: up to two months
- Medium suspension: three months up to one year
- Significant suspension: more than one year

- **Revocation**

Revocation terminates a person's status as a credentialed appraiser. Generally considered permanent, some States and jurisdictions may allow individuals with revoked credentials to reapply at some point in the future.

- **Voluntary Surrender in lieu of other disciplinary action**

Voluntary Surrender terminates a person's status as a credentialed appraiser. It is generally considered permanent; however some States and jurisdictions may allow individuals with surrendered credentials to reapply at some point in the future. This code would be used when an appraiser voluntarily surrenders his or her credential in lieu of other action being taken by the State.

- **Official Reprimand**

A form of public discipline which declares the conduct of the appraiser improper, but does not limit the appraiser's right to work. It is more severe than a Warning but does not involve additional education, monetary fine or probation.

- **Other**

When the action does not fit into any of the other action codes.

- **Restriction on scope of practice or Limited License**

When the action limits any aspect of the appraiser's ability to appraise therefore limiting the ability to supervise.

- **Temporary Practice Discipline**

Discipline taken by a host State agency against an appraiser granted a temporary practice permit. Discipline must be submitted to ASC staff for entry onto the National Registry. ASC staff will note the discipline in a text box on the appraiser's home State. There is currently no code for this action.

ASC National Registry Codes

1=Warnings, Corrective Actions, and Disciplinary Actions;

2=Additional Education;

3= Fine;

4=Probation;

5=Downgrade;

6=Suspension;

7=Revocation;

8=Voluntary Surrender in lieu of other action;

9=Official Reprimand;

0=Other;

10=Limited